

# SAXO BANK CLIENT APPLICATION FORM

## INSTRUCTIONS FOR COMPLETION

1	Before completing the form, please make sure you have read and understood all information regarding your Saxo Bank trading account including the General Business Terms and the Risk Disclosure Statement.
2	Please complete all information as accurately as possible.
3	Please write in block capital letters with a black or blue pen.
4	To comply with money laundering regulation, you are required to send in the following documentation ( <i>A or B as applicable</i> ) along with the form:

A	INDIVIDUAL CLIENTS
<ul style="list-style-type: none"><li>• A copy of your valid and signed passport</li><li>• Proof of residency, e.g. a copy of your national ID card, driver's license or social security card containing your registered address <b>or</b> a bank statement or utility bill issued in your name within the previous 6 months</li></ul>	

B	CORPORATE CLIENTS
<ul style="list-style-type: none"><li>• A copy of the certificate of incorporation</li><li>• Articles of association appropriately signed</li><li>• Documentation on who can sign on behalf of the corporate entity (authorised signatories)</li><li>• Copies of passports of authorised signatories to verify signatures</li></ul>	

5	<p>For <b>fast processing</b>, please return the completed form along with the above mentioned documentation to us by either:</p> <p><b>Fax</b> Fax a copy of the form together with the documentation to +45 39 77 47 00. (If you were introduced by an Introducing Broker, please use +45 39 77 42 00)</p> <p><b>Email</b> Scan the form together with the documentation and email it to <a href="mailto:contracts@saxobank.com">contracts@saxobank.com</a> (If you were introduced by an Introducing Broker, please use <a href="mailto:ib-contracts@saxobank.com">ib-contracts@saxobank.com</a>)</p> <p>Please note that if you send in your application via email or fax, you still need to submit the original form within 14 days, via standard mail.</p>
6	<p>Send the application by regular mail together with the documentation to:</p> <p>Saxo Bank A/S Smakkedalen 2 DK-2820 Gentofte Denmark Att. Contract Administration</p>
7	<p>Detailed information on how to transfer funds can be found in the enclosed "Settlement Instructions" or on our website: <a href="http://www.saxobank.com">www.saxobank.com</a></p>

Do you have any questions related to the completion of the form or which documents to submit?  
Please contact us on **+45 39 77 40 07** or [privatesales@saxobank.com](mailto:privatesales@saxobank.com)

# SAXO BANK CLIENT APPLICATION FORM

**Saxo Bank** is required by law to obtain information about its clients' financial position, investment experience and investment objectives in order to provide the services requested in the best interest of the clients.

**All clients** must complete Part 1, Part 2 and Part 5 of the Application Form.

**Individual Clients** must furthermore complete Part 3, and **Corporate Clients** must complete Part 4.

PART 1   GENERAL CLIENT DETAILS	
<b>Full name:</b>	
<b>Registered address:</b>	
<b>City/Zip code:</b>	
<b>State/Province:</b>	<b>Country:</b>
<b>Mailing address (if different):</b>	
<b>City/Zip code:</b>	
<b>State/Province:</b>	<b>Country:</b>
<b>Phone (private):</b>	<b>Fax (private):</b>
<b>Phone (work):</b>	<b>Fax (work):</b>
<b>Phone (mobile):</b>	<b>E-mail (required):</b>

PART 2   INVESTMENT EXPERIENCE	
<b>A   Would you consider yourself</b> <input type="checkbox"/> A professional investor <input type="checkbox"/> An experienced investor <input type="checkbox"/> An inexperienced investor	<b>B   Would you consider yourself</b> <input type="checkbox"/> Risk willing <input type="checkbox"/> Risk neutral <input type="checkbox"/> Risk averse
<b>C   Products in which you have previously invested</b> <input type="checkbox"/> Futures <input type="checkbox"/> Options <input type="checkbox"/> Foreign exchange <input type="checkbox"/> Stocks <input type="checkbox"/> CFDs / stocks on margin <input type="checkbox"/> Bonds / fixed income <input type="checkbox"/> Real estate <input type="checkbox"/> Other:	
<b>D   Trading objectives</b> <input type="checkbox"/> To attempt to achieve speculative gains <input type="checkbox"/> To hedge other investments <input type="checkbox"/> To hedge commercial risk	
<b>E   The products at Saxo Bank A/S of interest to you</b> <input type="checkbox"/> Foreign exchange <input type="checkbox"/> Options <input type="checkbox"/> CFDs / stocks on margin <input type="checkbox"/> CFD exchange direct market access <input type="checkbox"/> Bonds / Fixed income <input type="checkbox"/> Futures <input type="checkbox"/> International stocks <input type="checkbox"/> Managed funds	

### PART 3 | INDIVIDUAL DISCLOSURE

**Only to be completed by Individual Clients.** *(Corporate Clients please complete Part 4)*

#### Financial information

Preferred base currency for your account:

Annual income stated in base currency:

Net worth stated in base currency (excluding primary residence):

Approximate risk capital stated in base currency:

#### Please choose which type of account you require.

*(For additional information on the difference between the 2 accounts please visit our website)*

**Saxo MiniTrader account**  
Minimum deposit \$2,000\*

**SaxoTrader account**  
Minimum deposit \$10,000\*

\*or currency equivalent

### PART 4 | CORPORATE DISCLOSURE

**Only to be completed by Corporate Clients.** *(Individual Clients please complete Part 3)*

#### Directors and Officers

Number of board members:

Chairman/president:

Managing director/vice president:

Secretary/treasurer:

Additional director(s):

#### Financial Information

Preferred base currency for your company account:

Equity capital stated in base currency:

Approximate risk capital stated in base currency:

Do you have any questions related to the completion of the form or which documents to submit?  
Please contact us on **+45 39 77 40 07** or **privatesales@saxobank.com**

PART 5 | SIGNATURE

**I/We declare by my/our signature(s):**

- To have read, understood and agreed to the General Business Terms (including the Risk Disclosure Statement) and all of its contents;
- To understand and accept that the General Business Terms and any other relevant terms and conditions (as amended from time to time) apply to my/our entire trading relationship with Saxo Bank;
- To have received, read and understood the product information material relating to the relevant products;
- To have received additional in-depth verbal information about the products and the inherent possibilities and risks if so requested;
- To have received satisfactory answers to all my/our questions regarding the terms, conditions and other issues relating to the relevant products.

**Furthermore I/we confirm:**

- That the information provided by me/us and inserted in this form is correct;
- That the investment amount has been chosen by me/us taking my/our total financial circumstances into consideration and is by me/us considered reasonable under such circumstances;
- That I/we acknowledge that Saxo Bank is only able to provide advice to such effect, provided that I/we have supplied Saxo Bank with correct and adequate information in this Client Application Form or as otherwise requested by Saxo Bank;
- That Saxo Bank is entitled to send newsletters or other investment material to me/us by e-mail, sms or similar electronic messaging services, and that I/we must inform Saxo Bank in writing or otherwise, if I/we do not wish to receive such material.

**Date:**

**Signature:**

**SERIOUS TRADING. WORLDWIDE.**

SAXO BANK A/S | Smakkedalen 2 | DK-2820 Gentofte | Denmark | Telephone +45 39 77 40 00  
 Telefax +45 39 77 47 00 | Reuters Dealing Code: SAXO | Web: www.saxobank.com | Email: info@saxobank.com

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